

2017 Governance Meeting



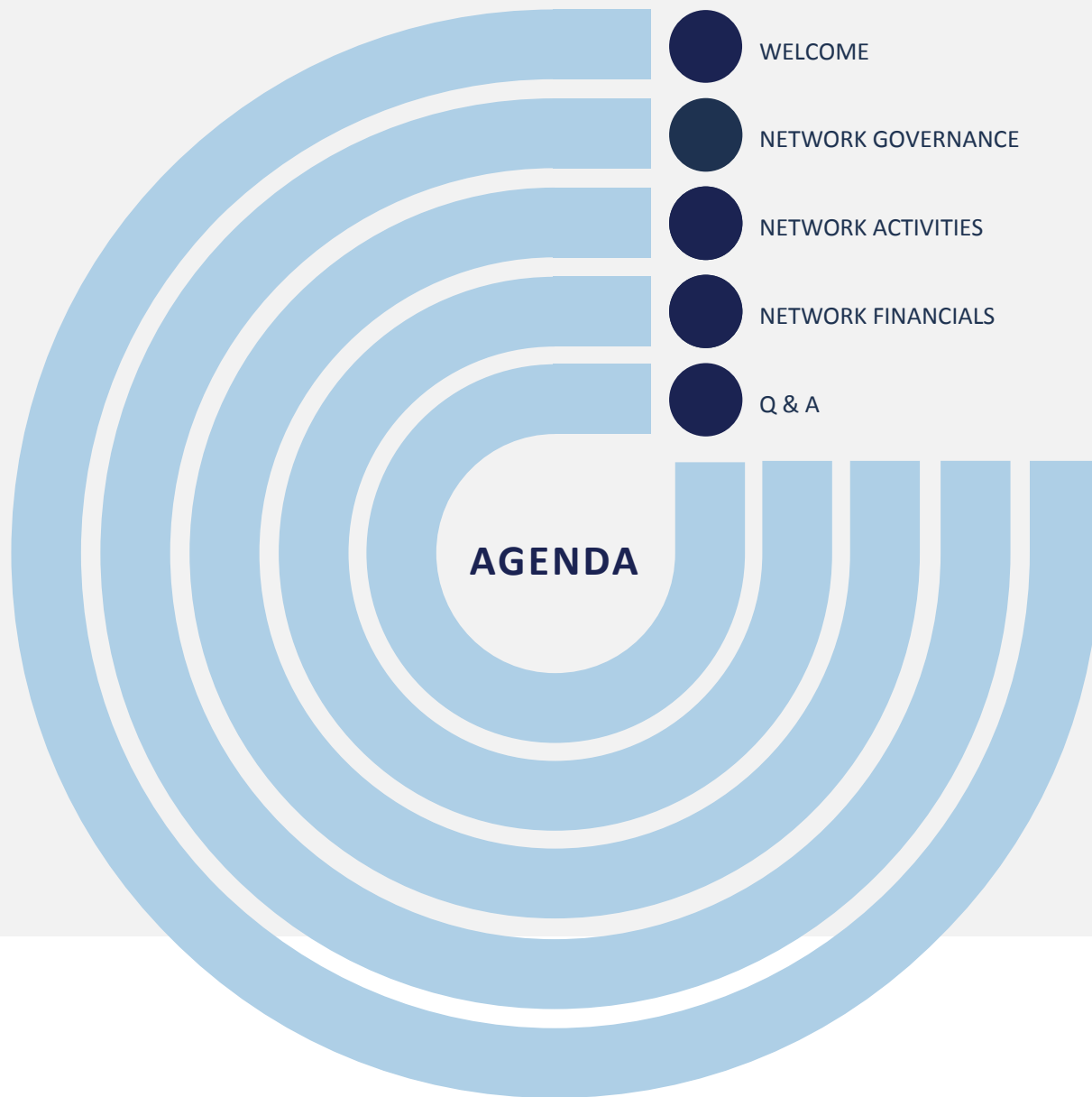
Global Compact
Network USA

About Network USA

Launched in 2007, **Global Compact Network USA (GCNUSA)** is the local network chapter of the United Nations Global Compact (UNGC). With 500+ participants, Network USA is a non-profit organization that supports U.S.-based entities in:

- Operating in alignment with the **Ten Principles** of the Global Compact
- Engaging with and advancing the **Sustainable Development Goals**
- Leveraging their association with the Global Compact and **United Nations** and its global resource network
- Facilitating and creating opportunities for **multi-sectoral and multi-stakeholder collaboration**





WELCOME



NETWORK GOVERNANCE



NETWORK ACTIVITIES



NETWORK FINANCIALS



Q & A

Network governance structure

- Formed informally in **2007** and led by a steering committee
- Established as **501(c)(3)** organization in **2014**
- Established the following governance documents:
 - Conflict of Interest policy
 - Antitrust Guidelines
 - Board member and officer responsibility descriptions
 - Board member selection process
 - Governance structure
- Framework LLC has been providing strategic counsel and programming and administrative support to the Network since 2012
- PwC and Baker McKenzie provide tax and legal services, respectively, on a pro-bono basis

Network mission and objectives

The **mission** of the U.S. Network is to support the advancement and implementation of the ten Global Compact principles through dialogue, the exchange of information, and the expansion of U.S. signatories to the United Nations Global Compact.

Grow the U.S. Network

- Prospect identification and outreach
- New member vetting and orientation

Increase member engagement

- Clearer value proposition, understanding of available benefits and resources
- More participation
 - More opportunities
 - More meaningful experiences

Improve member retention

- Confirm satisfaction
- Support and tools to reduce preventable delisting

Board of Directors

- **Amy Augustine**, Director; Director, Corporate Program, Ceres
- **Paul Boykas**, Secretary; Vice President, Global Public Policy, PepsiCo*
- **Evan Harvey**, Treasurer; Global Head of Sustainability, NASDAQ OMX
- **Brian Lowry**, Chair; Deputy General Counsel, Office of Policy, Stewardship, Regulatory & Government, Monsanto Company
- **Rick Pearl**, Director; Vice President, Corporate Citizenship, State Street
- **Claire Preisser**, Director; Associate Director, Business & Society Program, The Aspen Institute
- **Val Smith**, Director; Director, Corporate Sustainability, Citi

*Paul Boykas will be stepping off at the end of the year. Network USA is in the process of recruiting new members to the board.

Board candidates



Liz Forminard, Johnson & Johnson

Liz Forminard is Worldwide Vice President, Corporate Governance at Johnson & Johnson, the world's largest and most broadly based healthcare company. A regulatory lawyer by training, Forminard has held a number of central leadership positions within Johnson & Johnson as well as Pfizer. Her experiences inform her global, cross-functional view, including overseeing global Johnson & Johnson legal groups supporting a variety of health care products, devices and services.

Johnson & Johnson has been a signatory since 2013.



Daniella Foster, Hilton Worldwide

Daniella Foster is the Senior Director of Global Corporate Responsibility at Hilton. A policy innovator and social entrepreneur, she spent her career focused on actionable innovation in the government, business and NGO sectors. She previously led Global Corporate Affairs & Science Communications for Mars Symbioscience, the in-house innovation incubator of Mars, Incorporated, a \$35 billion private company. Foster also served as the Director of Public-Private Partnerships at the U.S. Department of State.

Hilton Worldwide has been a signatory since 2012.

Network activities in 2017

Annual Symposium

July 17 – Making Global Goals Local Business (NYC)

Host partner: Pfizer

Sponsors: Blackbaud, CA Technologies, Cigna

Collaborators: UN Global Compact



Forum

December 7 – Technology and Sustainable Development

Host partner: Google

Sponsors: EcoVadis, Symantec, Thomson Reuters (media)

Collaborator: NetHope, UN Global Compact

Webinar Series

June 13 – Leadership in Corporate Reporting

November 7 – SDG Leadership for U.S. Businesses

*Please visit our website for all information and materials from our events: globalcompactusa.org

Planned for 2018: Member Programming

Annual Symposium (Flagship Event)

June 20 – Symposium 18: The Next Chapter in Corporate Leadership

Citi, New York City

Regional Meetup Panels

Topics: Climate Change, Gender, Supply Chain

San Francisco, Chicago, and TBD

SDG Partnership Awards

Award Ceremony

Announced in Q1, Awarded in Q2 at Symposium

2018 Webinar Series

Member Webinars

3 Webinars based on UNGC Playbooks



External events

2017:

Social Good Summit (NYC)

VERGE (Santa Clara)

UNGC Leaders Summit (NYC)

BSR (Huntington Beach)

SB New Metrics (Philadelphia)

Planned for 2018:

GreenBiz (Phoenix)

Ceres (Boston)

Sustainable Brands (Vancouver)

2018 Business Model



UN Global Compact Participant Tiers & Benefits

		LEAD	PARTICIPANT	SIGNATORY
COMMITMENTS				
Ten Principles	Commit to the UN Global Compact Ten Principles	●	●	●
SDGs	Commit to have impact on the Sustainable Development Goals	●	●	●
Reporting	Commit to submit annual Communication on Progress [subject to review '17-18]	Advanced	Basic	Basic
RESOURCES, TOOLS & DIALOGUE				
Local Networks	Access to engage with Local Network (additional fee for MNC subsidiaries & SMEs)	●	●	●
	Access to basic UNGC resources and materials	●	●	●
UNGC Platform	Access to UNGC Compass, Global Solutions Platform & UN-Biz Partnership Hub	●	●	
	Access to global learning material including leading tools, resources, webinars	●	●	
	Access to Leadership Platforms (for an additional fee)	●	[If Qualified]	
	Access to advisory role in development of future UNGC platforms	●		
Global Events	Invitations to attend and sponsor global UNGC events and UN side events	●	●	
	Preferred invitations to attend and speak at UNGC events, including WEF-Davos	●		
RECOGNITION & BRAND				
Logo	Ability to show level of engagement and commitment to the UN Global Compact	"LEAD"	"Participant"	"Signatory"
Website	Company listing on the UN Global Compact website	●	●	●
	Company profiles on the UN Global Compact website	●	●	
	Expanded profiles of company, case studies, etc. on website and publications	●		

2018 Mandatory Contribution Levels

Tier	Signatory (Total)	Participant (Total)
>\$5B	\$10,000	\$20,000
\$1b - 5b	\$7,500	\$15,000
\$250m -1b	\$5,000	\$10,000
\$50m – 250m	\$2,500	\$5,000
\$25 – 50m	\$1,250 LN – imposed	\$2,500
<\$25m	\$625 LN – imposed	\$1,250
Foreign company	\$2,500 LN – imposed	\$2,500 LN – imposed

Network financials

Quarter:	1Q2017	2Q2017	3Q2017	4Q2017
Cash on Hand (beginning cash)	229,141	124,922	178,891	167,379
RECEIPTS from Support				
U.S. signatory contributions	111,000.00	167,250.00	73,500.00	50,000.00
RECEIPTS from Revenue				
Event ticket sales			12,837.50	
Event sponsorships			12,235.10	2,500.00
RECEIPTS from other sources				
Other receipts				
TOTAL RECEIPTS	111,000.00	167,250.00	98,572.60	52,500.00
DISBURSEMENTS for Operations				
Programming-related	4,468.65	5,337.21	61.99	11,747.65
Supplies and catering	769.59	3,709.83	61.99	10,434.13
Travel, meals, and lodging	3,699.06	1,627.38		563.52
Other (i.e. refunds)				750.00
Travel, meals, and lodging (not related to programming)	291.68	379.02	697.36	6,370.57
Marketing, administrative, and other	1,977.27	425.04	365.50	1,841.17
TOTAL OTHER OPERATING	6,737.60	6,141.27	1,124.85	19,959.39
Fee to Framework LLC for administrative and board support, engagement and outreach, strategy and advisory, and programming services	208,436.75	107,000.00	107,000.00	107,000.00
Bank service fees	45.39	138.95	138.70	
Insurance (D&O)			1,822.00	
TOTAL PROFESSIONAL SERVICES	208,482.14	107,138.95	108,960.70	107,000.00
TOTAL OPERATING DISBURSEMENTS	215,219.74	113,280.22	110,085.55	126,959.39
TOTAL DISBURSEMENTS	215,219.74	113,280.22	110,085.55	126,959.39
ENDING CASH	124,922	178,891	167,379	92,919

Notes:

4Q numbers are as of 11/30 and are subject to change by 12/31.

Questions?

- **Adam Gordon**, Engagement Manager, Global Compact Network USA
- **Evan Harvey**, Treasurer; Global Head of Sustainability, NASDAQ OMX
- **Brian Lowry**, Chair; Deputy General Counsel, Office of Policy, Stewardship, Regulatory & Government, Monsanto Company
- **Rick Pearl**, Director; Vice President, Corporate Citizenship, State Street



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Thank you!

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